JC02 Rec'd PCT/PTO 06 JUN 20\$5

PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0651-0021

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# DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

An Information Disclosure Statement under 37 CFR 1.97 and 1,98.

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

12. 🔲

13.

A preliminary amendment.

A substitute specification.

An Application Data Sheet under 37 CFR 1.76.

A power of attorney and/or change of address letter.

ATTTORNEY'S DOCKET NUMBER 2002P16790WOUS

U.S. APPLICATION (If INDUM, SEE

be assign

T/DE03/04035 INTERNATIONAL FILING DATE 2/08/2003 ORITY DATE CLAIMED TITLE OF INVENTION Gearing APPLICANT(S) FOR DO/EO/US Siemens Aktiengesellschaft Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included:

20. Other items or information: Return Post Card

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Page 1 of 2

# JC09 Rec'd PCT/PTO 0 6 JUN 2009,

PTO-1390 (Rev. 12-2004)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICATION NO. (if Incomplete 37 GFR 15) INTERNATIONAL APPLICATION NO. PCT/DE2003/004035 2002P16790WOUS  21. The following fees are submitted:  a) Basic national fee
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).   \$200
TOTAL OF ABOVE CALCULATIONS = \$1000.00 \$ 1000  Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets Extra sheets Number of each additional 50 or fraction thereof (round up to a whole number)  RATE  Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE \$
TOTAL OF ABOVE CALCULATIONS = \$1000.00  Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets
listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets
thereof (round up to a whole number)  8 -100 = 0
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE \$
claimed priority date (37 CFR 1.492(e)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE \$
Total claims
Independent claims 1 -3 = 0 x \$200.00 \$ 0 ;
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 \$
TOTAL OF ABOVE CALCULATIONS = \$ 1000  Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced \$
□ by ½.
SUBTOTAL = \$ 1000
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).
TOTAL NATIONAL FEE = \$ 1000
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +
TOTAL FEES ENCLOSED = \$ 1000.00
Amount to be refunded:
Amount to be charged: \$ 1000.0
a. A check in the amount of \$ to cover the above fees is enclosed.
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 502464. A duplicate copy of this sheet is enclosed.
d. Fees are to be charged to a credit card. <b>WARNING</b> : Information on this form may become public. <b>Credit card information should not be included on this form</b> . Provide credit card information and authorization on PTO-2038.
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.
SEND ALL CORRESPONDENCE TO:
Customer Number: 28204 Customer Number: 28204
OR SIGNATURE
Jacob Eisenberg c/o Siemens Schweiz  Jacob Eisenberg  NAME
Intellectual Property, I-44 Albisriederstrasse 245 43,410
CH-8047 Zürich, Switzerland  REGISTRATION NUMBER

• 40 ) • •

#### SIEMENS

## 10/537905 JC09 Rec'd PCT/PTO 3 6 JUN 2005

United States Patent and Trademark Office **Customer Service Window** Randolph Building 401 Dulany Street Alexandria, Virginia 22314 USA

Name Division of activity Department

Jacob Eisenberg Intellectual Property

IP

Phone +41 585 58 3295 Fax +41 585 58 3228

e-mail

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Your reference Our reference

2002P1690WOUS/ERB

Date

June 2<sup>nd</sup>, 2005

Customer No.: 28204

Re:

Utility Patent Application

Atty. Dock.:

2002P16790WOUS

Serial No.: to be assigned

Filed:

to be assigned

Inventor(s): Torsten Wahler

For: Gearing

Sir:

In response to the FILING OF APPLICATION PAPERS, the following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

- 1. Request for filing a continuation or divisional of an international application transmittal;
- 2. Specification;
- Claims: 3.
- 4. Drawings:
- **Application Data Sheet:** 5.
- Preliminary Amendment; and 6.
- 7. Return Post Card.

It is respectfully requested that the attached post card by stamped with the filing date of these documents and returned to us via post. A duplicate of this letter is enclosed.

In the event that the transmittal form is separated from this document and the Patent Office determines that an extension of time and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petition and/or other fees in connection with the filing of this document to **Deposit Account No.:** 502464 referencing attorney docket number 2002P16790WOUS.

### **SIEMENS**

2002P16790WOUS

June 2<sup>nd</sup>, 2005, page 2

However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Date: 06/03/05

Respectfully Submitted,

Enclosures

Jacob Eisenberg
Attorney for Applicant
Registration No. 43,410
Customer No.: 28204